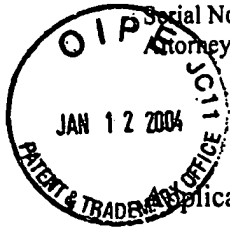


PATENT

Serial No. 10/686,955

Attorney Docket No. 450103-04836

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Singer et al.

Serial No. : 10/686,955

Filed : October 15, 2003

For : CONTENT STATES IN A MEDIA NETWORK ENVIRONMENT

Art Unit : Not Yet Assigned

Examiner : Not Yet Assigned

745 Fifth Avenue
New York, New York 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 9, 2003

Hans R. Mahr, Reg. No. 46,138

Name of Applicant, Assignee or Registered Representative

Hans Mahr

Signature

January 9, 2003

Date of Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is respectfully directed to the enclosed documents cited on the accompanying PTO-1449 form. Pursuant to 37 CFR §1.97, entry of this Information Disclosure Statement is respectfully solicited.

As set forth in 37 C.F.R. 1.97(b), an Information Disclosure Statement shall be considered if filed before the mailing date of a first Office Action on the merits.

PATENT
Serial No. 10/686,955
Attorney Docket No. 450103-04836

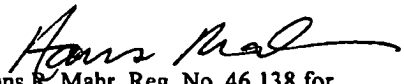
This information disclosure is not a representation that any of the cited documents are considered pertinent, or that any of the cited documents are indeed prior art.

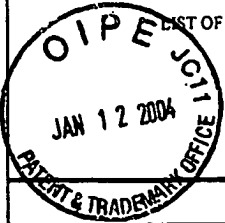
It is respectfully requested that the Examiner consider and make of record the documents herein cited, and that a copy of Form PTO-1449 be initialed by the Examiner and returned to the undersigned.

The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment associated with this paper to Deposit Acct. No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

By: 
Hans R. Mahr, Reg. No. 46,138 for
William S. Frommer
Reg. No. 25,506
(212) 588-0800

Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 450103-04836		SERIAL NO. 10/686,955	
 LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT Singer et al.			
				FILING DATE 10/15/2003		GROUP Unknown	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,104,677	08/15/00	Kirihara et al.			
	AB	6,438,692	08/20/02	Kato et al.			
	AC	6,310,956	10/30/01	Morito et al.			
	AD	6,295,360	09/25/01	Ryan et al.			
	AE	6,185,686	02/06/01	Glover			
	AF	6,052,780	04/18/00	Glover			
	AG	5,915,018	06/22/99	Aucsmith			
	AH	US2002/0141577	10/03/02	Ripley et al.			
	AI	US2002/0126842	09/12/02	Hollar			
	AJ	US2002/0107803	08/08/02	Lisanke et al.			
	AK	US2002/0046178	04/18/02	Morito et al.			
	AL	US2002/0041686	04/11/02	Moriyama et al.			
	AM	US2002/0016919	02/07/02	Sims, III			
	AN	US2002/0003880	01/10/02	Kato et al.			
	AO	US2001/0051928	12/13/01	Brody			
	AP	US2001/0042043	11/15/01	Shear et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AQ	WO 99/60568	11/25/99	WIPO			X
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AR						
EXAMINER /Joshua Murdough/				DATE CONSIDERED 01/17/2008			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

00168315

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.M./

Sheet 1 of 1

Based on Form PTO-1449
(3/90)

ATTY. DOCKET NO.

SERIAL NO.

450103-04836

10/686,955

APPLICANT

Mitch Frederick SINGER et al.

FILING DATE

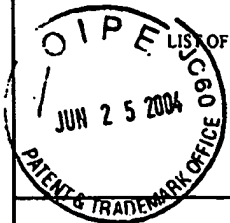
October 15, 2003

GROUP

2171

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 2002/0026636	02-28-2002	LaComte	H04n 7/167		06-14-2001
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	WO 03/039155	05-08-2003	PCT	H04N 7/173		X	
	AM	WO 02/03211	01-10-2002	PCT	G06F 13/00		X	
	AN	WO 01/46786	06-28-2001	PCT	G06F 1/00		X	
	AO							
	AP							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	DVB-: "Call for proposals for content protection & copy management technologies" DVB CPT REV 1.2, 5 July 2001 (2001-07-05), XP002230687, www, Retrieved from the Internet: URL: http://www.cptwg.org/Assets/DVB-CPT-CIP_rev1.2.PDF 'retrieved on 2003-02-10', page 1 - page 23
	AR	IBM: "IBM RESPONSE TO DVB-CPT CALL FOR PROPOSALS FOR CONTENT PROTECTION & COPY MANAGEMENT: XCP CLUSTER PROTOCOL" INTERNET, 19 October 2001 (2001-10-19), XP001148193, www, Retrieved from the Internet: <UPL:HTTP://WWW.ALMAIDEN.IBM.COM/SOFTWARE/DS/CONTENTASSURANCE/PAPERS/XCP_DVB.P>, page 3 - page 32
	AS	
	AT	
	AU	
	AV	

EXAMINER

/Joshua Murdough/

DATE CONSIDERED

01/17/2008

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00198966

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.M./

PATENT

Serial No. 10/686,955

Attorney Docket No. 450103-04836



10686955 GAU: 3621

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mitch Frederick SINGER et al.
Serial No. : 10/686,955
Filed : October 15, 2003
For : CONTENT STATES IN A MEDIA NETWORK ENVIRONMENT
Art Unit : 2171
Examiner : Unknown

745 Fifth Avenue
New York, New York 10151

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Hans R. Mahr, Reg. No. 46,138

Name of Applicant, Assignee or Registered Representative



Signature

June 23, 2004
Date of Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is respectfully directed to the enclosed documents cited on the accompanying PTO-1449 form. Pursuant to 37 CFR §1.97, entry of this Information Disclosure Statement is respectfully solicited.

As set forth in 37 C.F.R. 1.97(b), an Information Disclosure Statement shall be considered if filed before the mailing date of a first Office Action on the merits.

1
ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.M./

00199467

PATENT
Serial No. 10/686,955
Attorney Docket No. 450103-04836


This information disclosure is not a representation that any of the cited documents are considered pertinent, or that any of the cited documents are indeed prior art.

It is respectfully requested that the Examiner consider and make of record the documents herein cited and that a copy of Form PTO-1449 be initialed by the Examiner and returned to the undersigned.

The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment associated with this paper to Deposit Acct. No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

By: 
Hans R. Mahr, Reg. No. 46,138 for
William S. Frommer
Reg. No. 25,506
(212) 588-0800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)	
)	Group Art Unit: 2171
Singer et al.)	
)	Confirmation No.: 8821
Serial No. 10/686,955)	
)	Examiner: Unknown
Filed: October 15, 2003)	
)	
For: SYSTEM CONTENT STATES IN A)	
MEDIA ENVIRONMENT)	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR Sections 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR 1.97(h)), or even qualifies as "prior art" under 35 USC 102 with respect to this invention unless specifically designated by Applicant as such.

FILING PROVISION


This IDS is believed to be timely and is submitted under 37 CFR 1.97(b)(3) before the mailing of a first Office Action on the merits. However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c).

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

No fees are required with this filing under 37 CFR 1.97(b)(3), however, if applicant is incorrect in this regard or if the filing is accepted under 37 CFR 1.97(c), the Commissioner is hereby authorized to charge any fees required by the filing of these papers to Procopio's Deposit Account No. 50-2075.

Respectfully submitted,

Dated: July 24, 2007

By: 
Samuel S. Lee
Reg. No. 42,791

Procopio, Cory, Hargreaves & Savitch LLP
530 B Street, Suite 2100
San Diego, California 92101
(619) 238-1900

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	10/686,955
Filing Date	October 15, 2003
First Named Inventor	Singer et al.
Art Unit	2171
Examiner Name	Unknown
Attorney Docket Number	113748-4836US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,802,003	10/2004	Gross et al.	
		US- 6,986,048	01/2006	Epstein et al.	
		US- 6,530,021	03/2003	Epstein et al.	
		US- 6,550,011	04/2003	Sim, III Robert	
		US- 5,918,016	06/1999	Brewer et al.	
		US- 7,024,466	04/2006	Outten et al.	
		US- 2006/0242083	10/2006	Chavez, David L.	
		US- 2006/0259770	11/2006	Peinado, Marcus	
		US- 2002/0107806	08/2002	Higashi et al.	
		US- 2003/0131252	07/2003	Barton, James M.	
		US- 2003/0212905	11/2003	Tran et al.	
		US- 2003/0236978	12/2003	Evans et al.	
		US- 2004/0093372	05/2004	Chen et al.	
		US- 2004/0103297	05/2004	Risen et al.	
		US- 2004/0117635	06/2004	Karaoguz et al.	
		US- 2004/0039707	02/2004	Ricci, Chris	
		US- 2006/0031257	02/2006	Lipscomb et al.	
		US- 2006/0117379	06/2006	Bennett et al.	
		US- 2006/0159109	10/2006	Chavez, David L.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/686,955		
		Filing Date	October 15, 2003		
		First Named Inventor	Singer et al.		
		Art Unit	2171		
		Examiner Name	Unknown		
Sheet	2	of	2	Attorney Docket Number	113748-4836US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Office Action dated August 22, 2006 in U.S. Patent Application No.: 10/403,993	
		Office Action dated April 10, 2007 in U.S. Patent Application No.: 10/686,955.	

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

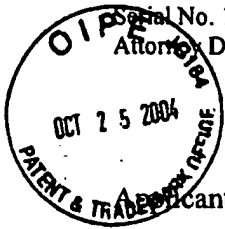
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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT

Serial No. 10/686,955

Attorney Docket No. 450103-04836

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Mitch Frederick SINGER et al.
Serial No. : 10/686,955
Filed : October 15, 2003
For : CONTENT STATES IN A MEDIA NETWORK ENVIRONMENT
Art Unit : 2171
Examiner : Unknown

745 Fifth Avenue
New York, New York 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Hans R. Mahr, Reg. No. 46,138

Name of Applicant, Assignee or Registered Representative

Hans Mahr

Signature

October 22, 2004
Date of Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is respectfully directed to the enclosed documents cited on the accompanying PTO-1449 form. Pursuant to 37 CFR §1.97, entry of this Information Disclosure Statement is respectfully solicited.

As set forth in 37 C.F.R. 1.97(b), an Information Disclosure Statement shall be considered if filed before the mailing date of a first Office Action on the merits.

PATENT
Serial No. 10/686,955
Attorney Docket No. 450103-04836

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The Commissioner is hereby authorized to charge any insufficient fees or credit any overpayment associated with this paper to Deposit Acct. No. 50-0320.


Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

By:


Hans R. Mahr, Reg. No. 46,138 for
William S. Frommer
Reg. No. 25,506
(212) 588-0800

Sheet 1 of 1

Based on Form PTO-1449 (3/90)				ATTY. DOCKET NO. 450103-04836		SERIAL NO. 10/686,955	
<div style="text-align: center;">  </div> LIST OF REFERENCES CITED BY APPLICANT <small>(Attach several sheets if necessary)</small>				APPLICANT Mitch Frederick SINGER et al.			
				FILING DATE October 15, 2003		GROUP 2171	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	AA	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	AB						
	AC						
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FOREIGN PATENT DOCUMENTS							
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	AM	WO 01/75876	10-2001	PCT	G11B 20/00		ABSTRACT ONLY
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	AO						
	AP						
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AQ						
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	AS						
	AT						
	AU						
	AV						
EXAMINER /Joshua Murdough/				DATE CONSIDERED 01/17/2008			
<small>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

00227363

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Substitute for form 1449A/PTO

(Use as many sheets as necessary)

Sheet

1

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2

Application Number	10/686,955
Filing Date	October 15, 2003
First Named Inventor	Singer et al.
Art Unit	2171
Examiner Name	Unknown
Attorney Docket Number	113748-4836US

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		WO 01/65862 A2	09/07/01			

/Joshua Murdough/

Date
Considered

01/17/2008

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet	2	of	2
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Complete if Known

Application Number	10/686,955
Filing Date	October 15, 2003
First Named Inventor	Singer et al.
Art Unit	2171
Examiner Name	Unknown
Attorney Docket Number	113748-4836US

(Use as many sheets as necessary)

Sheet

1

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2

Attorney Docket Number

113748-4836US

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ "Number" ⁴ "Kind Code" ⁵ (if known)				

**Examiner
Signature**

/Joshua Murdough/

Date Considered

01/17/2008

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO

(Use as many sheets as necessary)

Complete if Known

Application Number	10/686,955
Filing Date	October 15, 2003
First Named Inventor	Singer et al.
Art Unit	2171
Examiner Name	Unknown
Attorney Docket Number	113748-4836US

Sheet	2	of	2
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[illegible]

**Examiner
Signature**

/Joshua Murdough/

Date
Considered

01/17/2008

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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